

## **Compliance Monitoring – Some Issues**

- Work completed after statutory time limit?
- Is certificate of reasons for failing funding standard subject to review?
- What is the position of one man scheme?
- Can more than one piece of work for the same client be selected for review?
- Valuation reports signed by more than one actuary – who is subject to review?
- Data checks – how extensive?
- When reviewing Section 55 statements must reviewer check base valuation?